

PAT-041

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:  
Jonathan P. McIntosh

Group Art Unit: 2643

Examiner: Not Known

Serial No.: 10/603,583

Filed: June 25, 2003

For: SYSTEM, METHOD, AND  
COMPUTER-READABLE MEDIUM FOR  
ENABLING BOTH HEARING AND  
HEARING-IMPAIRED CALLERS TO  
ACCESS A RESOURCE

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## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

## INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

- ☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(h), that is (1) within three months of the filing date of the application, which is not a continued prosecution

CERTIFICATE OF MAILING  
(37 CFR § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

10-10-2003  
Date of Deposit

Michelle Zarinelli  
Michelle A. Zarinelli

PAT-041

application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

**STATEMENT UNDER 37 CFR § 1.97(e):**

☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

PAT-041

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).
- ☐ Please charge \_\_\_\_\_ to Deposit Account No. 501880 for the above fee(s).

Respectfully submitted,  
WEST CORPORATION

Dated: 8 OCT 03

By: \_\_\_\_\_

Rocco L. Adornato  
Reg. No. 40,480

**29129**

PATENT &amp; TRADEMARK OFFICE

WEST CORPORATION  
11808 Miracle Hills Drive  
Omaha, NE 68154

PTQ/SB/08A (08-03)

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Substitute for form 1449/PTD

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Filing Date	June 25, 2003
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First Named Inventor	Jonathan P. McIntosh
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Art Unit	2643
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Examiner Name	Not Known
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Attorney Docket Number	PAT-041
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Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if missing)			
	A	US- 5,481,589	01-02-1996	Morduch, Georg E.	
	B	US- 5,325,417	06-28-1994	Engelke, Robert M.	
	C	US- 6,078,650	08-20-2000	Hansen, Frederick W.	
	D	US- 6,002,749	12-14-1999	Hansen, Frederick W.	
	E	US- 6,421,425 B1	07-16-2002	Bossi, Burt J.	
	F	US- 5,604,786	02-18-1997	Engelke, Robert M.	
	G	US- 5,701,338	12-23-1997	Leyen, Thomas	
	H	US- 6,047,173	04-04-2000	Leyen, Thomas	
	I	US- 5,809,425	09-15-1998	Colwell, Kevin	
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**FOREIGN PATENT DOCUMENTS**

[illegible]

**Examiner  
Signature**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 909. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTQ/5B/08B (08-03)

Approved for use through 07/31/2005. CMB 0654 0001

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Substitution for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			Complete if Known <b>Application Number</b> 10/603,583 <b>Filing Date</b> June 25, 2003 <b>First Named Inventor</b> Jonathan P. McIntosh <b>Art Unit</b> 2843 <b>Examiner Name</b> Not Known <b>Attorney Docket Number</b> PAT-041		
Sheet	2	of	2		

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

12

Application Number

10/603\_583

Filing Date

June 25, 2003

**First Named Inventor**

**Jonathan P. McIntosh**

### Art Unit

2643

**Examiner Name**

**Not Known**

Attorney Docket Number

PAT-041

### NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

<b>Applicant:</b> Jonathan P. McIntosh <b>Serial No.:</b> 10/603,583 <b>Title:</b> System, Method, and Computer-Readable Medium for Enabling Both Hearing and Hearing-Impaired Callers to Access a Resource	<b>Docket No.</b> PAT-041
<b>Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.</b>	
<ol style="list-style-type: none"><li>1. Information Disclosure Statement (3 pages).</li><li>2. PTO/SB/08A (1 page).</li><li>3. PTO/SB/08B (1 page).</li><li>4. Copies of 9 patents.</li><li>5. Copies of 2 articles.</li></ol>	
<b>Date Mailed:</b> October 10, 2003	

<b>Applicant:</b> Jonathan P. McIntosh <b>Serial No.:</b> 10/603,583 <b>Title:</b> System, Method, and Computer-Readable Medium for Enabling Both Hearing and Hearing-Impaired Callers to Access a Resource	<b>Docket No.</b> PAT-041
<b>Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.</b>	
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<b>Date Mailed:</b> October 10, 2003	

